

State of New Jersey
Department of the Treasury
Integrity Oversight Monitoring Reporting Model

Firm Name: Thacher Associates
Engagement: DEP Rebuild by Design Program
For Quarter Ending: 09/30/2016

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	Department of Community Affairs	DEP is a subrecipient per a Memorandum of Understanding between DCA and DEP.
2.	Federal Funding Agency? (e.g. HUD, FEMA)	HUD	
3.	State Funding (if applicable)	N/A	
4.	Award Type	CDBG-DR	
5.	Award Amount	\$380 million	
6.	Contract/Program Person/Title	Dennis Reinknecht, RBD Program Manager, Bureau of Flood Resilience	
7.	Brief Description, Purpose and Rationale of Project/Program	This program consists of two separate New Jersey projects that have been approved by the federal government as part of its Rebuild by Design competition to improve physical, ecological and economic resilience in regions affected by Superstorm Sandy. HUD is providing CDBG-DR funding for the feasibility, design and construction of these two projects. The Meadowlands project has been allocated \$150 million and the Hudson River project has been allocated \$230 million.	
8.	Contract/Program Location	Hudson River Region and Meadowlands Region	
9.	Amount Expended to Date	Hudson River: \$7,537,533; Meadowland: \$2,087,949	
10.	Amount Provided to other State or Local Entities	N/A	
11.	Completion Status of Contract or Program	This program is in its early stages and is scheduled to take several years to complete	
12.	Expected Contract End Date/Time Period	The AECOM contract for the Meadowlands project is currently scheduled to be completed in 2017. The Construction Management Firm for both contracts, Louis Berger/Hill JV, has a contract that currently expires in September 2017. The Dewberry contract for the Hudson River project is currently scheduled to be completed by the end of FY2017.	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	N/A	

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14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Following the initial kick-off meeting in June 2016, Thacher Associates began to conduct risk and controls analyses for the Projects. Work included review of Crosscut Policies as against CDBG laws rules and regulations. Thacher Associates also attended a CDBG training session in Trenton.	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Thacher Associates provided an initial document request for documents required for Thacher's review of DEP's financial, administrative and procurement processes. During the course of the quarter, Thacher Associates reviewed and analyzed those documents to assess the risks facing the Projects and the policies, procedures and controls in place to guard against the risks.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	With the exception of the review of CMF invoices described below, Thacher Associates' activities were related to interviews and document review and analysis for the purpose of identifying risks facing the projects and assessing the policies, procedures and controls in place to guard against those risks.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	Yes. Thacher Associates has been reviewing monthly invoices from the CMF.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	During the quarter, Thacher Associates continued to identify the risks facing the project and the policies, procedures and controls in place to guard against them. Thacher Associates also initiated reviews of CMF invoices.	

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19.	Provide details of any integrity issues/findings	None.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	None.	
21.	Provide details on any other items of note that have occurred in the past quarter	N/A	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	N/A	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	Rich Vermeulen - 14 Melissa Liebermann - 71 Kevin Mullins - 95 Edison Montalvo - 33.75 Joshua Wiesenfeld - 68.5 Justin Rattino - 68.25 Michael Bernstein - 53.5 Chris Ward - 33	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None.	

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Name of Integrity Monitor:	Thacher Associates
Name of Report Preparer:	William Rogers
Signature:	
Date:	January 3, 2017